Equal schools or equal students?

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he Civil Rights Act of 1964 contains a section numbered 402, which went largely unnoticed at the time. This section instructs the Commissioner of Education to carry out a survey of "concerning the lack of availability of equal educational opportunities" by reason of race, religion or national origin, and to report to Congress and the President within two years. The Congressional intent in this section is somewhat unclear. But if, as is probable, the survey was initially intended as a means of finding areas of continued intentional discrimination, the intent later became less punitive-oriented and more future-oriented: *i.e.*, to provide a basis for public policy, at the local, state, and national levels, which might overcome inequalities of educational opportunity.

In the two years that have intervened (but mostly in the second), a remarkably vast and comprehensive survey was conducted, focussing principally on the inequalities of educational opportunity experienced by five racial and ethnic minorities: Negroes, Puerto Ricans, Mexican Americans, American Indians, and Oriental Americans. In the central and largest portion of the survey, nearly 600,000 children at grades 1, 3, 6, 9, and 12, in 4000 schools in all 50 states and the District of Columbia, were tested and questioned; 60,000 teachers in these schools were questioned and self-tested; and principals of these schools were also questioned about their schools. The tests and questionnaires (administered in the fall of 1965 by Educational Testing Service) raised a considerable controversy in public school circles and among some parents, with concern ranging from Federal encroachment on the local education system to the spectre of invasion of privacy. Nevertheless, with a participation rate of about 70% of all the schools sampled, the survey was conducted; and on July 1, 1966, Commissioner Howe presented a summary report of this survey. On July 31, the total report, *Equality of Educational Opportunity*, 737 pages, was made available (Government Printing Office, \$4.25).

The summary of the report has appeared to many who have read it to be curiously "flat," lacking in emphases and policy implications. Much of the same flatness can be found in the larger report. The seeming flatness probably derives from three sources: the research analyst's uneasiness in moving from description to implications; the government agency's uneasiness with survey findings that may have political repercussions; and, perhaps more important than either of these, the fact that the survey results do not lend themselves to the provision of simple answers. Nevertheless, the report is not so uncontroversial as it appears. And some of its findings, though cautiously presented, have sharp implications.

Perhaps the greatest virtue of this survey - though it has many faults - is that it did not take a simple or politically expedient view of educational opportunity. To have done so would have meant to measure (a) the objective characteristics of schools - number of books in the library, age of buildings, educational level of teachers, accreditation of the schools, and so on; and (b) the actual extent of racial segregation in the schools. The survey did look into these matters (and found less inequity in school facilities and resources, more in the extent of segregation, than is commonly supposed); but its principal focus of attention was not on what resources go into education, but on what product comes out. It did this in a relatively uncomplicated way, which is probably adequate for the task at hand: by tests which measured those areas of achievement most necessary for further progress in school, in higher education, and in successful competition in the labor market – that is, verbal and reading skills, and analytical and mathematical skills. Such a criterion does not allow statements about absolute levels of inequality or equality of education provided by the schools, because obviously there are more influences than the school's on a child's level of achievement in school, and there are more effects of schools than in these areas of achievement. What it does do is to broaden the question beyond the school to all those educational influences that have their results in the level of verbal and mathematical skill a young person is equipped with when he or she enters the adult world. In effect, it takes the perspective of this young adult, and says that what matters to him is, not how "equal" his school is, but rather whether he is equipped at the

end of school to compete on an equal basis with others, whatever his social origins. From the perspective of society, it assumes that what is important is not to "equalize the schools" in some formal sense, but to insure that children from all groups come into adult society so equipped as to insure their full participation in this society.

Another way of putting this is to say that the schools are successful only insofar as they reduce the dependence of a child's opportunities upon his social origins. We can think of a set of conditional probabilities: the probability of being prepared for a given occupation or for a given college at the end of high school, conditional upon the child's social origins. The effectiveness of the schools consists, in part, of making the conditional probabilities less conditional — that is, less dependent upon social origins. Thus, equality of educational opportunity implies, not merely "equal" schools, but equally effective schools, whose influences will overcome the differences in starting point of children from different social groups.

The widening educational gap

This approach to educational opportunity, using as it does achievement on standardized tests, treads on sensitive ground. Differences in average achievement between racial groups can lend themselves to racist arguments of genetic differences in intelligence; even apart from this, they can lead to invidious comparisons between groups which show different average levels of achievement. But it is precisely the avoidance of such sensitive areas that can perpetuate the educational deficiences with which some minorities are equipped at the end of schooling.

What, then, does the survey find with regard to effects of schooling on test achievement? Children were tested at the beginning of grades 1, 3, 6, 9, and 12. Achievement of the average American Indian, Mexican American, Puerto Rican, and Negro (in this descending order) was much lower than the average white or Oriental American, at all grade levels. The amount of difference ranges from about half a standard deviation to one standard deviation at early grade levels. At the 12th grade, it increases to beyond one standard deviation. (One standard deviation difference means that about 85% of the minority group children score below the average of the whites, while if the groups were equal only about 50% would score below this average.) The grade levels of difference range up to 5 years of deficiency (in math achievement) or 4 years (in reading skills) at the 12th grade. In short, the differences are large to begin with, and they are even larger at higher grades.

Two points, then, are clear: (1) these minority children have a serious educational deficiency at the start of school, which is obviously not a result of school; and (2) they have an even more serious

deficiency at the end of school, which is obviously in part a result of school.

Thus, by the criterion stated earlier – that the effectiveness of schools in creating equality of educational opportunity lies in making the conditional probabilities of success less conditional – the schools appear to fail. At the end of school, the conditional probabilities of high achievement are even *more* conditional upon racial or ethnic background than they are at the beginning of school.

There are a number of results from the survey which give further evidence on this matter. First, within each racial group, the strong relation of family economic and educational background to achievement does not diminish over the period of school, and may even increase over the elementary years. Second, most of the variation in student achievement lies within the same school, very little of it is between schools. The implication of these last two results is clear: family background differences account for much more variation in achievement than do school differences.

Even the school-to-school variation in achievement, though relatively small, is itself almost wholly due to the *social* environment provided by the school: the educational backgrounds and aspirations of other students in the school, and the educational backgrounds and attainments of the teachers in the school. *Per pupil expenditure*, books in the library, and a host of other facilities and curricular measures show virtually no relation to achievement if the "social" environment of the school – the educational backgrounds of other students and teachers – is held constant.

The importance of this last result lies, of course, in the fact that schools, as currently organized, are quite culturally homogeneous as well as quite racially segregated: teachers tend to come from the same cultural groups (and especially from the same race) as their students, and the student bodies are themselves relatively homogeneous. Given this homogeneity, the principal agents of effectiveness in the schools – teachers and other students – act to maintain or reinforce the initial differences imposed by social origins.

One element illustrates well the way in which the current organization of schools maintains the differences over generations: a Negro prospective teacher leaves a Negro teacher's college with a much lower level of academic competence (as measured by the National Teacher's Examination) than does his white counterpart leaving his largely white college; then he teaches Negro children (in school with other Negro children, ordinarily from educationally deficient backgrounds), who learn at a lower level, in part because of his lesser competence; some of these students, in turn, go into teacher training institutions to become poorly-trained teachers of the next generation.

Altogether, the sources of inequality of educational opportunity

appear to lie first in the home itself and the cultural influences immediately surrounding the home; then they lie in the schools' ineffectiveness to free achievement from the impact of the home, and in the schools' cultural homogeneity which perpetuates the social influences of the home and its environs.

A modest, yet radical proposal

Given these results, what do they suggest as to avenues to equality of educational opportunity? Several elements seem clear:

a) For those children whose family and neighborhood are educationally disadvantaged, it is important to replace this family environment as much as possible with an educational environment — by starting school at an earlier age, and by having a school which begins very early in the day and ends very late.

b) It is important to reduce the social and racial homogeneity of the school environment, so that those agents of education that do show some effectiveness — teachers and other students — are not mere replicas of the student himself. In the present organization of schools, it is the neighborhood school that most insures such homogeneity.

c) The educational program of the school should be made more effective than it is at present. The weakness of this program is apparent in its inability to overcome initial differences. It is hard to believe that we are so inept in educating our young that we can do no more than leave young adults in the same relative competitive positions we found them in as children.

Several points are obvious: It is not a solution simply to pour money into improvement of the physical plants, books, teaching aids, of schools attended by educationally disadvantaged children. For other reasons, it will not suffice merely to bus children or otherwise achieve pro forma integration. (One incidental effect of this would be to increase the segregation within schools, through an increase in tracking.)

The only kinds of policies that appear in any way viable are those which do not seek to improve the education of Negroes and other educationally disadvantaged at the expense of those who are educationally advantaged. This implies new kinds of educational institutions, with a vast increase in expenditures for education — not merely for the disadvantaged, but for all children. The solutions might be in the form of educational parks, or in the form of private schools paid by tuition grants (with Federal regulations to insure racial heterogeneity), public (or publicly-subsidized) boarding schools (like the North Carolina Advancement School), or still other innovations. This approach also implies reorganization of the curriculum within schools. One of the major reasons for "tracking" is the narrowness of our teaching methods — they can tolerate only a narrow range of skill in the same classroom. Methods which greatly widen the range are necessary to make possible racial and cultural integration within a school – and thus to make possible the informal learning that other students of higher educational levels can provide. Such curricular innovations are possible – but, again, only through the investment of vastly greater sums in education than currently occurs.

It should be recognized, of course, that the goal described here – of equality of educational opportunity through the schools – is far more ambitious than has ever been posed in our society before. The schools were once seen as a supplement to the family in bringing a child into his place in adult society, and they still function largely as such a supplement, merely perpetuating the inequalities of birth. Yet the conditions imposed by technological change, and by our post-industrial society, quite apart from any ideals of equal opportunity, require a far more primary role for the school, if society's children are to be equipped for adulthood.

Self-confidence and performance

One final result of the survey gives an indication of still another - and perhaps the most important - element necessary for equality of educational opportunity for Negroes. One attitude of students was measured at grades 9 and 12 - an attitude which indicated the degree to which the student felt in control of his own fate. For example, one question was: "Agree or disagree: good luck is more important than hard work for success." Another was: "Agree or disagree: every time I try to get ahead someone or something stops me." Negroes much less often than whites had such a sense of control of their fate -a difference which corresponds directly to reality, and which corresponds even more markedly to the Negro's historical position in American society. However, despite the very large achievement differences between whites and Negroes at the 9th and 12th grades, those Negroes who gave responses indicating a sense of control of their own fate achieved higher on the tests than those whites who gave the opposite responses. This attitude was more highly related to achievement than any other factor in the student's background or school.

This result suggests that internal changes in the Negro, changes in his conception of himself in relation to his environment, may have more effect on Negro achievement than any other single factor. The determination to overcome relevant obstacles, and the belief that he will overcome them – attitudes that have appeared in an organized way among Negroes only in recent years in some civil rights groups – may be the most crucial elements in achieving equality of opportunity – not because of changes they will create in the white community, but principally because of the changes they create in the Negro himself.